



## **SIGNALPOINT™ ASSET MANAGEMENT, LLC** **Firm Privacy Notice**

### **Privacy Policy**

SignalPoint™ Asset Management, LLC ("SignalPoint"™) recognizes that relationships with current and prospective clients are based upon a high level of integrity and trust. Thus, we work hard to maintain your privacy and are very careful to preserve the private nature of our relationship.

As a financial services provider, we place the highest value on the information you share with us. We believe that all of our clients value their privacy, so we will not disclose your personal information to anyone unless it is required by law, is at your direction, or is necessary to provide to you our services. We do not sell your personal data to anyone at any point in time.

### **Use of Non-public Information**

In connection with providing our services, SignalPoint™ collects non-public information about you from the following sources:

1. Information we receive from you on applications or other forms, such as conversations, meetings and correspondence;
2. Information about your transactions with us, third party custodians, broker-dealers, and other financial institutions. This information may include, but not be limited to name, address, tax identification number and account information, investment history, assets, income, business relationships, estate or financial plans, net worth and investment selection; and
3. Information about your transactions and account history with us or your custodian. This includes your communications to us concerning your investments and accounts.

The information we gather about you and your accounts is intended to help us design and implement the investment services we provide to you and comply with the securities laws and regulations that govern SignalPoint.

### **Protection of Personal Information**

SignalPoint™ restricts and limits employee access to client information to only those who need it to carry out our services. We maintain a secure office to ensure that your information is not placed at unreasonable risk. We employ a computer firewall barrier, secure data authentication techniques, and backup and recovery systems in our computer environment. Furthermore, we educate our employees about the safeguarding of client information and preventing its unauthorized access, disclosure and use. We also maintain physical, electronic and procedural safeguards to protect your nonpublic information. When SignalPoint™ shares your information with a third party service provider, we instruct such provider to adhere to our privacy policy regarding customer information.

*Protecting the confidentiality of your non-public personal information remains a priority for us, and is one way in which we respond to the trust you have placed in our firm. Please contact us if you have any questions.*

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